ISO/IEC 17021-1:2015

ROAD TRANSPORT MANAGEMENT SYSTEM WORKSHOP

20 FEBRUARY 2019

WELCOME!
South Africa, SANAS is one of the 4 institutions or bodies that form the Technical Infrastructure under the DTI.
ACCREDITATION BODY

- ISO 17011 & IAF MD

CERTIFICATION BODY

- ISO 17021 & SANAS REQUIREMENTS

CLIENT (Manufacturer/fabricator)

- SANS 1395-1:2014 & CB REQUIREMENTS
• New applicant or Extension of Scope

• New/Existing CB

• Existing Client

(Manufacturer or fabricator)
The overall aim of management system certification is to provide assurance that the organization has implemented a system for the management of the relevant aspects of its activities, products and services, in line with the organization’s policy and the requirements of the respective international management system standards.
The value of certification is the *degree of confidence and trust* that is established by an impartial and competent demonstration of fulfilment of specified requirements by *a third party*. 
SOUTH AFRICAN NATIONAL STANDARD

Conformity assessment — Requirements for bodies providing audit and certification of management systems

Part 1: Requirements
EXAMPLES OF MANAGEMENT SYSTEMS

Certifiable ISO/IEC 17021-1 MS standards:

- Quality Management Systems - QMS (ISO 9001)
- Environmental Management Systems - EMS (ISO 14001)
- Occupational Health and Safety Management Systems - OHSMS (OHSAS 18001 & ISO 45001)
- Food Safety management Systems - FSMS (ISO 22000)
- Energy Management Systems - EnMS (ISO 50001)
- Information Security Management Systems - ISMS (ISO 27001)
- Road Transport Management Systems - RTMS (SANS 1395-1:2014)
- Anti-bribery management systems - ABMS (ISO 37001)
- Asset Management Systems - AMS (ISO 55001)
Additional competence requirements:

- ISO/IEC 17021-2: Competency for EMS
- ISO/IEC 17021-3: Competency for QMS
- ISO/IEC TS 17021-4: Competency for Event Sustain. MS
- ISO/IEC TS 17021-5: Competency for Asset MS
- ISO/IEC TS 17021-6: Competency for Business Contin. MS
- ISO/IEC TS 17021-7: Competency for Road Transport MS
- ISO/IEC TS 17021-10: Competency for OHS&MS
- ISO/IEC TS 22003: Includes Competency for FSMS
- ISO 50003: Includes Competency for EnMS
- ISO 27006: Includes competency for ISMS
RELEVANT TECHNICAL SPECIFICATIONS

- Additional competence requirements: Assessing competence:
  - ISO/IEC TS 17021-7: Competency for Road Transport Management System
RELEVANT TECHNICAL SPECIFICATIONS

- Additional Accreditation requirements:
  - SANAS Technical Requirements
PRINCIPLES-BASED REQUIREMENTS

Principles that inspire confidence and trust

- **Impartiality**
  - Personnel
  - Decision making
  - Revenue

- **Competence**
  - Confidence
  - Management Process

- **Responsibility**
  - Objective Evidence gathered for Decision
  - Certified client to perform
Principles that inspire confidence and trust

- **Openness**
  - Public Perception
  - Access to applicable doc/certification process

- **Confidentiality**
  - Non-disclosure of information used to obtain evidence for assessment purposes

- **Responsiveness to complaints**
  - Parties are confident and Protected

- **Risk-based Approach**
  - Consideration of risk associated with providing competent, consistent and impartial certification activities
SANAS ACCREDITATION
PROCESS FOR CERTIFICATION BODIES
APPLICATION PROCESS

1. Application
2. Document Review
3. Recommendation (initial/pre-assessment)
5. Witnessing & office assessment

6. Recommendation based on Initial assessment

4. Selection of Assessment Team
10. Surveillance Assessment

11. 6 Month Follow-up

12. Reassessment
13. Surveillance 1
14. Surveillance 2
15. Reassessment
Appendix 1: The 3 Year Certification Assessment Cycle

The flowchart below provides a basic guideline on the normal 3 year accreditation cycle for Certification Bodies. The Accreditation Manager will plan the cycle of each Certification Body to ensure that all the required assessments are conducted within the cycle, and before the expiry date of the Certificate and Schedule of Accreditation.

1ST CYCLE

Approval Committee Acceptance of Initial Assessment
1 October 2008

6 Month Follow-up Assessment (H/O)
1 April 2009

1st Surveillance Assessment (All)
1 April 2010

CAB to re-apply
Re-application received from CB for renewal of Accreditation
30 April 2011 (6mth before expiry)

Re-Assessment (All)
Application accepted
30 June 2011 (3mth before expiry)

Certificate Expiry
30 September 2011

2ND CYCLE

Next Cycle 1st Surveillance Assessment (H/O)
30 October 2012

2nd Surveillance Assessment (All)
30 October 2013

CAB to re-apply
Re-application received from CB for renewal of Accreditation
30 April 2014 (6mth before expiry)

Re-Assessment (All)
Application accepted
30 July 2014 (3 months before expiry)

Certificate Expiry
30 Oct 2014

TO 3RD CYCLE
FOR FURTHER INFORMATION, VISIT OUR WEBSITE

www.sanas.co.za

THANK YOU

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